# JC03 Rec'd PCT/PTO 27 MAY 2004

PTO-1390 (Rev. 12-2004)
Approved for use through 3/31/2007. OMB 0651-0021
U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE

U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number.

#### TRANSMITTAL LETTER TO THE UNITED STATES **DESIGNATED/ELECTED OFFICE (DO/EO/US)** 10/536882 **CONCERNING A SUBMISSION UNDER 35 U.S.C. 371** INTERNATIONAL FILING DATE 10/2003 04/12/2002 TITLE OF INVENTION Smart card holder APPLICANT(S) FOR DO/EO/US Siemens AG Applicant herewith submits to the United States Designated/Elected Office (DO/EO/US) the following items and other information: 1. This is a FIRST submission of items concerning a submission under 35 U.S.C. 371. This is a SECOND or SUBSEQUENT submission of items concerning a submission under 35 U.S.C. 371. This is an express request to begin national examination procedures (35 U.S.C. 371(f)). The submission must include items (5), (6), (9) and (21) indicated below. The US has been elected (Article 31). A copy of the International Application as filed (35 U.S.C. 371(c)(2)) is attached hereto (required only if not communicated by the International Bureau). has been communicated by the International Bureau. is not required, as the application was filed in the United States Receiving Office (RO/US). 6. 🗹 An English language translation of the International Application as filed (35 U.S.C. 371(c)(2)). is attached hereto. has been previously submitted under 35 U.S.C. 154(d)(4). 7. Amendments to the claims of the International Application under PCT Article 19 (35 U.S.C. 371(c)(3)) are attached hereto (required only if not communicated by the International Bureau). have been communicated by the International Bureau. have not been made; however, the time limit for making such amendments has NOT expired. have not been made and will not be made. An English language translation of the amendments to the claims under PCT Article 19 (35 U.S.C. 371(c)(3)). $\overline{\mathbf{V}}$ 9. An oath or declaration of the inventor(s) (35 U.S.C. 371(c)(4)). 10. An English language translation of the annexes of the International Preliminary Examination Report under PCT Article 36 (35 U.S.C. 371(c)(5)). Items 11 to 20 below concern document(s) or Information included: 11. 🗹 An Information Disclosure Statement under 37 CFR 1.97 and 1.98. 12. 🗸 An assignment document for recording. A separate cover sheet in compliance with 37 CFR 3.28 and 3.31 is included. 13. 🗸 A preliminary amendment. 14. 🗸 An Application Data Sheet under 37 CFR 1.76. A substitute specification. 16. 🗹 A power of attorney and/or change of address letter. A computer-readable form of the sequence listing in accordance with PCT Rule 13ter.2 and 37 CFR 1.821- 1.825. A second copy of the published International Application under 35 U.S.C. 154(d)(4). A second copy of the English language translation of the international application under 35 U.S.C. 154(d)(4). 20. Other items or information: Return Post Card

This collection of information is required by 37 CFR 1.414 and 1.491-1.492. The information is required to obtain or retain a benefit by the public, which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.11 and 1.14. This collection is estimated to take 15 minutes to complete, including gathering information, preparing, and submitting the completed form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Mail Stop PCT, Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

Page 1 of 2

# JC20 Rec'd PCT/PTO 2 7 MAY 2005

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						ATTORNEY'S DOCKET NUMBER	
to be as	SEGIOPHIA R	7	PCT/DE03/	003	718	2002P16792	2WOUS
21. The following fees are submitted:							
a) Basic national fee\$300.00						\$ 300	
b) Examination fee\$200.00						\$ 200	
c) Search fee\$500.00						s 500	
TOTAL OF ABOVE CALCULATIONS = \$1000.00						s 1000	
Additional f		<del></del>					
	mputer program listing 0 sheets of paper or fra						
Total Sheets Extra sheets			Number of each additional 50 or fraction thereof (round up to a whole number)				
13 -100 =	0 /50 =		0	x \$250.00		\$ <b>O</b>	
Surcharge of \$130.00 for furnishing the oath or declaration later than 30 months from the earliest						\$	
claimed priority date (37 CFR 1.492(e)).							
	CLAIMS NUMBER FIL					\$	·
Total claims	<del>-</del> -	- 20 =	0		\$50.00	\$ O	
Independent claims		- 3 =	0	O × \$200.00		\$ <b>O</b>	
MULTIPLE DEPENDENT CLAIM(S) (if applicable) + \$360.00						\$	<u> </u>
TOTAL OF ABOVE CALCULATIONS =  Applicant claims small entity status. See 37 CFR 1.27. The fees indicated above are reduced						\$ 1000 \$	
by ½.						<b></b>	
SUBTOTAL =						\$ 1000	
Processing fee of \$130.00 for furnishing the English translation later than 30 months from the earliest claimed priority date (37 CFR 1.492(f)).						\$	
TOTAL NATIONAL FEE =						\$ 1000	
Fee for recording the enclosed assignment (37 CFR 1.21(h)). The assignment must be accompanied by an appropriate cover sheet (37 CFR 3.28, 3.31). <b>\$40.00</b> per property						s 40.00	
TOTAL FEES ENCLOSED =						\$ 1040.00	
	-					Amount to be refunded:	\$
						Amount to be	\$ 1040.00
	**************************************					charged:	10+0.00
a. A check in the amount of \$ to cover the above fees is enclosed.							
b. Please charge my Deposit Account No in the amount of \$ to cover the above fees.  A duplicate copy of this sheet is enclosed.							
c. The Commissioner is hereby authorized to charge any additional fees which may be required, or credit any overpayment to Deposit t Account No. 502464. A duplicate copy of this sheet is enclosed.							
d. Fees are to be charged to a credit card. WARNING: Information on this form may become public. Credit card information should not be included on this form. Provide credit card information and authorization on PTO-2038.							
NOTE: Where an appropriate time limit under 37 CFR 1.495 has not been met, a petition to revive (37 CFR 1.137(a) or (b)) must be filed and granted to restore the international Application to pending status.							
SEND ALL CORRESPONDENCE TO:							
Customer Numi		, NOIN					
OR SIGNATURE							
Jacob Eisenberg							
Jacob Eisenberg c/o Siemens Schweiz Intellectual Property, I-44 Albisriederstrasse 245  NAME 43.410							
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CH-8047 Zürich	, Switzerland	N NUMBER	_				
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### **SIEMENS**

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United States Patent and Trademark Office

**Customer Service Window** 

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Your reference

Our reference

2002P16792WOUS/KUR

Date May 25, 2005

Customer No.: 28204

Re:

**Utility Patent Application** 

Atty. Dock.:

2002P16792WOUS

Serial No.: to be assigned Inventor(s): Klaus Hug et al.

Filed:

to be assigned

Confirmation No.: to be assigned

For:

Smart card holder

Sir:

2.

The following documents are forwarded herewith for appropriate action by the U.S. Patent and Trademark Office:

- 1. Transmittal Letter to the United States Designated/Elected Office Concerning a Submission under 35 U.S.C. 371
  - Specification, Claims and Drawings;
- Information Disclosure Statement: 3.
- 4. Information Disclosure Statement by Applicant (2 pages);
- 5. Patent Documents:
- 6. Assignment Recordation Form Cover Sheet;
- 7. **Executed Assignment**; 8. **Executed Declaration:**
- 9.
- Application Data Sheet;
- 10. Preliminary Amendment; and
- 11. Return Post Card.

It is respectfully requested that the attached post card by stamped with the filing date of these documents and returned to us via post. A duplicate of this letter is enclosed.

In the event that the transmittal form is separated from this document and the Patent Office determines that an extension of time and/or other relief is required, Applicants petition for any

# 10/536882

# **SIEMENS**

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required relief including extensions of time and authorizes the Commissioner to charge the cost of such petition and/or other fees in connection with the filing of this document to <a href="Deposit Account No.: 502464">Deposit Account No.: 502464</a> referencing attorney docket number <a href="2002P16792WOUS">2002P16792WOUS</a>.

However, the Commissioner is not authorized to charge the cost of the issue fee to the Deposit Account.

Date: 05/25/05

Respectfully Submitted,

**Enclosures** 

Attorney for Applicant Registration No. 43,410 Customer No.: 28204